

## Overview of Current Regulatory Practices, Challenges and Guidance for Regulation of Stem Cells and Stem Cell Derived Products in India

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### Abstract

Stem cell and cell derived products are included under the category of drug nowadays, as it involves in treatment of several untreatable diseases. Therefore, in current era of modern medicine the stem cells need to pass through Food and Drug Administration (FDA) regulation of clinical trials to pass as a drug. International Society for Stem Cell Research (ISSCR) regulates clinical trials involved in stem cell research and its prospective human application. Currently every country including India has own regulation for stem cell research and are more or less similar to ICSSR. The role of National Apex Committee for Stem Cell Research and Therapy in India (NAC-SCRT) are clearly stated in NGSCR that approval for stem cell application in patients only within the confines of clinical trials and therapy based on Stem Cells and Stem Cell derived Products (SCCPs) should be informed in a proper format to the regulatory concern and done through the proper channel.

This review article covers the requirement of laboratories and institutes which covered under NGSCR along with requirements for testing and evaluation of stem cells and cell based products in India. The review as a whole may not be comprehensive enough to include all the points in relation to the regulatory domain for approving Stem Cell Derived Product (SCDP) in our country but an attempt has been made to identify the important points keeping in mind that this domain will evolve and rules and regulations will change with the passage of time.

## Abbreviation

ISSCR:	International Society for Stem Cell Research
FDA:	Food and Drug Administration
SCCPs:	Stem Cells and Stem Cell Products
NGSCR:	National Guidelines for Stem Cell Research
NAC-SCRT:	National Apex Committee for Stem Cell Research and Therapy in India
SCDP:	Stem Cell Derived Product
CLAA:	Central Licensing Approving Authority,
IND:	Investigational New Drug,
CRISPR-Cas9:	Clustered regularly interspaced short palindromic repeats and CRISPR-associated protein 9.
iPSCs :	Induced Pluripotent Stem Cells
MSCs :	Mesenchymal Stem cells
HSCs:	Hematopoietic stem cells
HLA:	Human leukocyte antigen
GVHD:	Graft versus Host Disease
AML:	Acute myeloid leukemia
CML:	Chronic myeloid leukemia
ALL:	Acute lymphoblastic leukemia
HLA:	Human leukocyte antigen

## Introduction

Stem cells and cell-based products (SCCPs) have emerged with significant application in medical research in the prevention or treatment of human diseases during the last few years. Stem cells can be defined as a cell that has the ability to self-replicate unspecified period. The stem cells can be differentiated into different kind of cell types under the specific conditions and signals and therefore have a potential to develop into mature cells with specialized functions depending upon their origin and bio-plasticity. The application of SCCPs is numerous in different clinical conditions such as cancer, ischemia, diabetes, Parkinson's disease, other neurological disorders and other diseases [1,2]. Currently, Human stem cell offers an extra-ordinary approaches and opportunities in development of new medical agents that may act as regenerative medicine. The current trend as a medicinal stem cell involves isolation, ex-vivo expansion and administration for the therapy. Currently there are more than 100 diseases that can be treated with the help of stem cell therapy [3].

## History and Evolution of Stem Cells and Cell-Based Products (Sscps)

Stem cell was first used as a drug to treat disease was during 1950s. During the 1950s the first implantation with hematopoietic stem cells (HSCs) was carried out in 6 patients by intravenous administration. Despite of absence of any side effects, the transplantation was failed in terms of non-survival of patients and non-detectable marrow grafts. Started from this there is tremendous increase in homologous as well as autologous marrow transplantation was observed from 1970 to 2007 [4]. Pre 2000s, all HSCs transplantation was indicated for malignancies associated with haemopoietic system. But currently it is evolved for the treatment of solid tumours [3,5,6]. In the initial part of 2000s with greater characterization of HSCs along with Human leukocyte antigen (HLA) matching stem cells were commercialized in United State market and introduce as a stem cells drug at 2010 with the name of Ducord and HemaCord. However, due to low HLA matching ratio in the human population the HSC has evolved slowly in the market [3]. From 2012 onwards Mesenchymal Stem cells (MSCs) are advanced as a stem cell drugs as they are having low immunogenicity compared to HSCs and other cell-based products. MSCs also confirmed to exhibit strong modulation of immunity and can regulate the immune system of host making them helpful in treatment [7-10]. Previously, MSCs have been successfully transplanted in patients with the safety of HLA matching and absence of immune suppression in patients developed them as a drug [11-13]. The first MSC based stem cell drug was officially approved by FDA in Canada in 2012 which was indicated in the treatment of graft versus host diseases relate to HSCT. In a pharmacology drug is a substance that is used to treat, cure, prevent or diagnose a disease that causes physiological changes in body and helpful in management of disease when inhaled, injected, consumed, absorbed via patch or by any other means. The substances that meet the indicated criteria can be termed as a drug. Therefore, by definition stem cells can be enlisted into a drug category.

Currently there are two measure categories involved in stem cell drugs. First is HSCs based drugs which is indicated in treatment of Acute myeloid leukemia (AML), Thalassemia, Chronic myeloid leukemia (CML), Sickle cell anemia, Acute lymphoblastic leukemia (ALL), Aplastic anemia, Hodgkin's lymphoma, Fanconi anemia, Non-Hodgkin's lymphoma, Immune deficiency syndromes, Neuroblastoma, Inborn errors of metabolism, Ewing's sarcoma, Autoimmune diseases, Multiple myeloma, Myelodysplastic syndromes, Gliomas, other solid tumors. Other is a MSCs based drugs which are utilized in when require immunomodulation, healing injury, promotion of tissue generation, stimulation of angiogenesis etc. MSCs are currently used in treatment of GvHD, degenerative osteoarthritis, repetitive trauma, Burger's disease. The evolution of a concept in the realm of "modern medicine" changes with the passage of time. The evolution of the concept that stem cells should be considered as drugs per se, likewise changes with new findings. Findings on the Safety, Efficacy and large scale ex-vivo expansion of Pluripotent as for example Embryonic Stem Cells, or Multipotent as for example Mesenchymal Stromal Cells or iPSCs (Induced Pluripotent Stem Cells) are getting established every day.

To regulate the Stem Cell and Stem Cell-based products, the ISSCR's (International Society for Stem Cell Research), came up with their guidelines in the year as recently as 2016 [14]. However these Guidelines couldn't be accepted as the last word by FDAs, since it is an ever-evolving subject. ISSCR's guideline was developed in 2016 by a group of scientists and ethicists from Asia, Europe, North America and Australia with through review from the data obtained from regulators, funding bodies, journal editors, patient advocates, researchers, stake holders, key opinion leaders in the public domain [14].

## Definition of Stem Cell Derived Product (SCDP) [15]

- Stem Cell derived Products are used to refer to products, which are used in the form of drugs, intended to be administered to a patient and that contains stem cells or is derived from stem cells.
- A drug which has been derived from processed cells including cell or tissue which has been processed by means of substantial or more than minimal manipulation. (Substantial or more than minimal manipulation means ex-vivo alteration in the cell population (T-Cell depletion, cancer cell depletion), expansion, which is expected to result in alteration of function.
- With the objective of propagation and/or differentiation of a cell, cell activation and production of a cell line.
- This includes, pharmaceutical or chemical or enzymatic treatment, altering a biological characteristic.
- Combining with a non-cellular component.
- And last but not the least, manipulation by genetic engineering, including application of CRISP-R, Cas 9 technique and gene modification.

## Stem Cell Products from Indian Perspective

Stem cells or its derived products that are intended to administer to patients as in the form of drugs are considered as Stem Cell derived Products. Stem Cell derived Products may be sampled and sent for quality control testing and if required necessary legal procedures as per the provisions of Drugs and Cosmetics Act can be taken by the regulator. The stem cells shall pass the proof of concept, animal toxicity, and science based approach for the process of approval or licensing. All stem cell derived products are mandated under drugs and cosmetic act.

## Stem Cell Therapies (SCT) from Indian Perspective

When the stem cells and stem cell derived products as a part of all invasive procedure by physicians, doctors and clinician as a part of standard care treatment then it is referred as a Stem cell therapy. Most widely used stem cell therapy includes bone marrow transplantation and therapies derived from umbilical cord blood. These therapies are procedure by medical practitioners under the medical ethics as it is difficult to make provisions for sampling, testing and other legal procedures for stem cells therapy under Drugs and Cosmetics Act. Currently stem cell therapies are regulated by ICMR, NGSCR and Indian Medical Council Act [16].

The difference between stem cells products and cell therapies indicated in Table 1.

**Table 1:** Differences between Stem Cell Products and Stem Cell Therapies from Indian perspective

Sr. No.	Items	Stem cell derived Products (SCDP)	Stem Cell therapies (SCT)
1	Definition	These are the products that are used in the form of drugs and intended to be administered in patients.	This refer to the treatment using stem cells and stem cell derived products that are used as a part of all invasive procedures which are conducted as a part of standard care of treatment. Bone marrow transplantation, therapies derived from umbilical cord.
2	Quality control	Quality control sampling and testing is required as per provision by Drugs and Cosmetics Act.	Sampling, testing and legal procedures are highly difficult to initiate under the provisions of Drugs and Cosmetics Act by the regulator.
3	Research requirements	Proof of concepts, animal toxicity, science based approach is required for the approval/ licensing of stem cell derived products	Stem cell therapies are done on medical practices/procedures by Health care professional following medical ethics.
4	Regulatory governing bodies	Drugs and Cosmetics Act and Rules there under is mandated for Stem cell derived products	Mainly ICMR, NGSCR, Indian Medical Council Act to be followed for stem cell therapies.

## Stem Cell Research Regulations in Different Countries

Different countries have attempted to regulate SCDP and have come up with different guidelines. The leading countries who have extensively worked on stem cell research and development and contributed in the development of products are USA, Japan, UK, Korea, Australia, China, etc. The comparisons of current regulations for stem cells are indicated in Tables 2, 3 and 4.

**Table 2: Current Stem Cells definitions in different Countries. Regulation**

Sr. No	Country	Definitions
1	USA	<p>The term “<b>stem cell</b>” means a non-terminally differentiated, self-renewing cell that has the ability to produce mature, differentiated daughter cells. Stem cells serve to regulate or participate in normal tissue homeostasis and embryonic and foetal development.</p> <p>The term “<b>stem cell-based products</b>” (SCPs) means articles containing, consisting of, or derived from stem cells are a subset of cell-based products containing, consisting of, or derived from cells such as stem cells, progenitor cells, precursor cells, stem cell-like cells, reprogrammed cells, and other cell types with similar properties.</p> <p>The term “<b>cell-based products</b>” means those articles containing, consisting of, or derived from cells that are intended for implantation, transplantation, infusion, or transfer into recipient.</p> <p>The term “<b>more than minimal manipulation</b>” means processing that alters the relevant biological characteristics of cells or tissues. Examples of more than minimal manipulation include, but are not limited to, cell expansion, directed cell differentiation, and addition of purified tropic factors.</p>
2	Japan	<p>Stem Cells &amp; Cell based Products falls under Regenerative Medical Products are defined as processed live human/ animal cells that are intended to be used for either the reconstruction, repair or formation of structures or functions of the human body or the treatment or prevention of human diseases or for gene therapy.</p>
3	Korea	<p>A medicinal product manufactured through physical, chemical and biological manipulation, such as in-vitro culture of autologous, allogeneic or xenogeneic cells, where a <b>medical doctor</b> performs <b>minimal manipulation</b> which does <b>not cause safety problems</b> of autologous or allogeneic cells in the course of surgical operation or treatment at a medical centre.</p>
4	Europe	<p>Stem Cells can be defined as cells with self-renewing capacity i.e., capability to generate daughter cells and multi-lineage differentiation capacity. Stem Cells are capable of proliferation as stem cells in an undifferentiated form.</p> <p style="text-align: center;">Stem cells include:</p> <ol style="list-style-type: none"> <li>1. Embryonic stem cells (hESCs) derived from blastocysts</li> <li>2. Adult or somatic stem cells including:             <ol style="list-style-type: none"> <li>2.1. Haemopoietic progenitor stem cells (HSCs)</li> <li>2.2. Mesenchymal stromal/stem cells (MSCs)</li> </ol> </li> <li>3. Induced Pluripotent Stem Cells (iPSCs),</li> </ol>

**Table 3: Current Stem Cells rregulation in different Countries**

<b>Regulation</b>	
<b>USA</b>	<p>In USA stem cells and cell-based products are divided into two categories i.e. 351 and 361. FDA has introduced since 2012 three types of regulatory exceptions, which can also be granted to human cell and tissue products</p> <p style="text-align: center;">These exceptions aim at:</p> <ul style="list-style-type: none"> <li>(i) Speeding up the transition from preclinical to clinical testing (“fast track approval”)</li> <li>(ii) Accelerated authorization of phase I and II clinical trials that involve seriously ill patients with low life expectancy (“accelerated approval”)</li> <li>(iii) More rapid clinical testing of ‘break through therapies’ that have the potential to treat a serious or potentially life threatening disease.</li> </ul> <p>The “361” category regulates the use of minimally manipulated stem cells that are applied for homologous use. These cells are not subject to pre-market approval by the FDA and they can be used in patients under compliance with the US human tissue regulation. Large direct-to-consumer markets with ‘361’ stem cell products has emerged in the USA in recent years. While many of these clinics purport to offer self-classified ‘361’ products, there have been reports that several of these interventions were actually unproven ‘351’ products which were offered to patients illegally. In response to these claims, the FDA published in November 2015 draft guidance for a revised and more stringent regulatory approach, which was designed to address this problem. At the time of writing this article, though, this draft guidance was not yet finalized.</p>
<b>Japan</b>	<p>Stem Cells are regulated under the Regenerative Medicine &amp; Cell Therapy in Japan.</p> <p>Two Acts were promulgated by the Japanese Parliament in November 2013 in line with Regenerative Medicine Promotion Act.</p> <ol style="list-style-type: none"> <li>1. <b>Medical Care Act:</b> This act regulates all the medical technologies using processed cells, for which safety and efficacy have not yet been established. This act also regulates immunotherapies and Academic &amp; clinical research (Not for Market Authorization only for publication of Research papers &amp; Medical treatment) performed using human stem cells like observational studies, Interventional studies, Human Genome Analysis under the guideline for Human Stem Cell Clinical Research since 2006 which shall be governed by Ministry of Health, Labour and Welfare (MHLW).</li> <li>2. <b>Pharmaceuticals &amp; Medical Devices Act</b></li> </ol> <p>This act regulates <b>production</b> and <b>marketing</b> of regenerative and cellular therapeutic products including the Clinical trials and interventional studies of Cellular / Tissue based Products intended for application for Market Authorization under the <b>Pharmaceutical Affairs Law (PAL)</b> which shall be governed by Ministry of Health, Labour and Welfare (MHLW) &amp; PMDA.</p>

Korea	<p><b>Medical Practice (Medical Service Act):</b> All the Minimal Manipulation of autologous and allogenic cell based products manufacturing at the medical centre are regulated under this act.</p> <p><b>Pharmaceutical Affairs Act:</b> All More than Minimal Manipulations of autologous, allogenic and Xenogenic cell based products and minimal manipulations of autologous, allogenic and Xenogenic cell based products manufacturing outside the medical centre shall be regulated under this act.</p> <p><b>For Tissue Based Products:</b></p> <ol style="list-style-type: none"> <li>1. <b>Medical Service Act:</b> Regulates Medical Practices.</li> <li>2. <b>Human Tissue Safety &amp; Control Act:</b> Regulates Human tissues for Transplantation.</li> <li>3. <b>Medical Device Act:</b> Regulates Medical Devices and some of the products like Porcine valve</li> </ol> <p>Regulatory pathway for Tissue Engineering Products (Biologicals or Medical Device) shall be governed by all the three above mentioned acts.</p> <p><b>Umbilical Cord Blood Control and Research Act:</b> Regulates cord blood.</p> <p><b>Bioethics and Safety Act:</b> Regulates Human derived Cell &amp; tissues.</p> <p><b>HTSCA:</b> Human tissues like cartilage, bone, ligament, tendon, skin, heart valves, blood vessel, fascia, amnion were regulated under this act.</p>
Europe	<p>Cells that are more than minimally manipulated and used in non-homologous contexts are defined as “medicinal products” and are regulated under the Advanced Therapy Medicinal Products (ATMP) legislation, which was issued by the European Medicines Agency (EMA) in November 2007.</p> <p>Minimally manipulated autologous stem cells, on the other hand, are regulated under the human tissue legislations of European member states, and not centrally under EMA.</p> <p>The ATMP regulation has harmonized regulatory approaches for clinical stem cell research in EU member states, to enable clinical collaborations and cross-country approval of stem cell products outside of the EU.</p> <p>EMA has introduced a so-called “hospital exemption” program for stem cell interventions. This program allows the provision of cellular medicinal products to individual patients “in a European hospital under the exclusive professional responsibility of a doctor”.</p> <p>These hospital exemptions are authorized for use by the regulatory authority in the country in which the product is applied. As a result, the hospital exemption scheme has been implemented unevenly across EU member states.</p> <p>In some countries, the scheme has been used to approve large numbers of experimental interventions and has created “the opportunity for a legal market of authorised stem cell therapy products to emerge within the province of the clinical professionalism”.</p> <p>More recently, EMA has also introduced a “conditional market approval” scheme, which can be used also for stem cell interventions. According to this scheme, a stem cell product can be licensed at a later stage of a phase III trial, when data collection for efficacy and safety has almost been completed.</p>

**USA [17]**

In USA stem cells and cell-based products are divided into two categories i.e. 351 and 361. The product category 351 involves cells that are more than minimally manipulated and to cells that are used in a non-homologous manner. The USFDA developed a regulatory framework for controlling cell and tissue based products based on three general areas which include prevention of use of contaminated tissues or samples, prevention of improper handling or processing which may lead to damage or contamination of cells and clinical safety of all the tissue or cell that are processed for functional use [18].

*Table 4: Current Stem Cells rregulation for manipulation in different Countries*

<b>Regulation for manipulation</b>	
<b>USA</b>	Those cell based products that are minimally manipulated for homologous use only, and not combined with any drug or device do not require FDA approval.
<b>Japan</b>	<p>Medical care Act regulates immunotherapies and academic &amp; Clinical Research not to Market Authorization. It is only for publication of Research. It shall be generally governed by Ministry of Health Labour Welfare and not PMDA.</p> <p style="text-align: center;"><b>Scope of Manipulation Regulated</b></p> <ul style="list-style-type: none"> <li>• Artificial proliferation and differentiation of cells and tissues, drug treatment for the purpose of activation, biological properties modification, combination with non-cellular components, genetic engineering modification, Isolation/separation of specific cell by biological and chemical treatment with agents, cells for non-homologous use.</li> <li>• 2. Minimal Manipulation such as treatment with antibiotics ,washing, freezing ,gamma ray sterilization, simple isolation/separation without biological and chemical treatment are not covered under new regulation (Advanced therapy medicinal products (ATMPs))</li> </ul>
<b>Korea</b>	<p>All the Minimal Manipulation products manufactured at the Medical centre are regulated under this Act i.e., Medical Practice (Medical Service Act).</p> <p style="text-align: center;">Specific examples of minimal manipulation include:</p> <ol style="list-style-type: none"> <li>1. Separation; A Process of ficoll density-gradient separation or centrifugation.</li> <li>2. Selection</li> <li>3. Freezing, thawing, washing and etc.,</li> <li>4.Proliferation of cells as a result of cell culturing, cell activation using growth factors and gene transduction are not included in the above scope of minimal manipulation.</li> </ol>
<b>Europe</b>	If Minimally Manipulated, are regulated under EUCTD (2004/23/EC) governed by EDQM. These are not considered as Medicinal products.

FDA introduced three types of regulatory exceptions which are granted to cells and tissue products [19]. This includes speeding up the transitions from preclinical testing and accelerated authorisation in clinical trials that involves serious patients with considerate of low life. It also includes the rapid testing of breakthrough

therapies that are potential in the treatment of serious threatening diseases. In addition to these FDA introduced priority review procedures which aims towards the decreasing time frame required for evaluation process after completion of clinical trial. The regulatory exception also includes expanded access program which provides patients access to the parallel treatments which includes the biological drug products [20].

The “361” category regulates use of minimally manipulated stem cells and are functional for homologous use. These products can be used in patients under compliance with US human tissue regulation [21]. The majority of self-classified ‘361’ product are actually unproven ‘351’ products which are offered illegally to the patients. To overcome this USFDA made more stringent regulatory approach which involves these products need to have a USFDA pre-market approval. Also, these products should follow approval process through the proper pipeline involving the preclinical studies, investigational new drug (IND) application and clinical trials. This is having similar regulatory exceptions as ‘351’.

### **European Union [17]**

As per European Union stem cells are the one those have self-renewing capacity i.e., capability to generate daughter cells and multi-lineage differentiation capacity. Stem Cells are capable of proliferation as stem cells in an undifferentiated form. This includes embryonic stem cells (hESCs) derived from blastocysts; Haematopoietic progenitor stem cells (HSCs); Mesenchymal Stromal/stem cells (MSCs); Induced Pluripotent Stem Cells (iPSCs).

Regulatory arrangements for stem cell treatments in the EU are similar to the USFDA. Cells that are more than minimally manipulated and used in non-homologous contexts are defined as “medicinal products” and are regulated under the Advanced Therapy Medicinal Products (ATMP) legislation issued by the European Medicines Agency (EMA). While in case of minimum manipulated autologous stem cells are not covered under EMA, but under human tissue legislations of European member states. As like that of USFDA, the EMA also require the systematic clinical studies as though there is no large scale market emerged in minimally manipulated stem cells [22]. As similar to USFDA, the EMA has introduced a “compassionate use” program, which allows access to new drugs and biological products (including stem cell products) outside of premarket clinical trials.

Recently, EMA introduced a scheme named as conditional market approval. As per this scheme, a stem cell product can be licensed at a later stage of a phase III trial, when data collection for efficacy and safety has almost been completed. If Minimally Manipulated, are regulated under EUCTD (2004/23/EC) governed by EDQM. These are not considered as Medicinal products.

### **Japan [17,23]**

Regenerative Medicine Promotion Act (RMPA) was passed by Amended Pharmaceutical Affairs Law, which went into effect in November 2014. As per RMPA, stem cell and cell-based products are covered under the Regenerative Medical Products and are defined as processed live human/ animal cells that are intended to be used for either the reconstruction, repair or formation of structures or functions of the human body or the treatment or prevention of human diseases or for gene therapy. The RMPA also regulates the

scope of manipulation. This includes artificial manipulation and minimal manipulation but blood transfusion (blood Products), Hematopoietic stem cell transplantation, Assisted Reproductive Technology, except those derived from genetic engineering, Human induced pluripotent stem cells (HiPSC), are excluded from the scope. RMPA allowed for conditional market approval for stem cell products after early phase clinical trials. Conditional approval can occur after positive clinical data from as few as ten patients, provided these first-in-human-trials demonstrate that the tested cell products are safe and “likely to predict efficacy”. Costs for these experimental treatments are split between the state and patients at a ratio of 70:30.

1. **Medical Care Act:** This act regulates all the medical technologies using processed cells, for which safety and efficacy have not yet been established. This act also regulates immunotherapies and Academic & clinical research (Not for Market Authorization only for publication of Research papers & Medical treatment) performed using human stem cells like observational studies, Interventional studies, Human Genome Analysis under the guideline for Human Stem Cell Clinical Research since 2006 which shall be governed by Ministry of Health, Labour and Welfare (MHLW).

2. **Pharmaceuticals & Medical Devices Act:** This act regulates production and marketing of regenerative and cellular therapeutic products including the Clinical trials and interventional studies of Cellular / Tissue based Products intended for application for Market Authorization under the Pharmaceutical Affairs Law (PAL) which shall be governed by Ministry of Health, Labour and Welfare (MHLW) & PMDA. A separate category and definition of Regenerative Medical Products has been made under PMDA, as it is difficult to gather and evaluate the data for efficacy of Regenerative Medical Products in a short time due to heterogeneity of cells. An expedited approval system for Regenerative Medical Products has been followed, After the safety is confirmed and results predict likely efficacy, the product will be given conditional time limited Market Authorization in order to enable timely provision of the products to the patients. To approve products based on the limited data, such as surrogate endpoints in exploratory study shall be used Similarity to accelerated approval of USFDA. The product has an effect on a surrogate endpoint that is reasonably likely to predict clinical benefit.

## **Korea**

### ***Medical Practice (Medical Service Act)***

All the Minimal Manipulation of autologous and allogenic cell-based products manufacturing at the medical centre are regulated under this act.

### ***Pharmaceutical Affairs Act***

All More than Minimal Manipulations of autologous, allogenic and Xenogeneic cell-based products and minimal manipulations of autologous, allogenic and Xenogeneic cell-based products manufacturing outside the medical centre shall be regulated under this act.

For Tissue Based Products the regulatory pathways are

4. **Medical Service Act:** Regulates Medical Practices.

**5. Human Tissue Safety & Control Act:** Regulates Human tissues for Transplantation.

**6. Medical Device Act:** Regulates Medical Devices and some of the products like Porcine valve

***Umbilical Cord Blood Control and Research Act:*** Regulates Medical Devices and some of the products like Porcine valve

***Bioethics and Safety Act:*** Regulates Human derived Cell & tissues.

***HTSCA:*** Human tissues like cartilage, bone, ligament, tendon, skin, heart valves, blood vessel, fascia, and amnion were regulated under this act.

All the Minimal Manipulation products manufactured at the Medical center are regulated under this Act i.e., Medical Practice (Medical Service Act).

### **Argentina [17]**

The clinical use of stem cells is regulated from the Argentinean Ministry of Health under Ministerial Resolution No. 610/2007.

This resolution states that the use of human cells falls under the authority of the Unique Central Institute for Ablation and Implantation (INCUCAI). By falling under the authority of INCUCAI, stem cell interventions are not governed as a medical product (as in the EU, India and the USA) but as a medical procedure, which are managed by the Argentinean Transplant Act. With the exception of haematopoietic cell transplants from human bone marrow, all types of stem cells are considered experimental and require evaluation of safety and efficacy through clinical research.

### **Brazil [17]**

The regulatory framework for stem cell research is prohibited due to two different reasons that is **religious opposition** to stem cell research, and a **constitutional prohibition** that bans the commercial use of human cells and tissues.

Brazilian constitution prohibits the commercialization of human body materials, including human cells and their derivatives.

### **Salient Features of Guidelines of Stem Cell Research in India**

It is universally accepted that stem cells are required to undergo processing by ex-vivo or in- vitro methods to get a large number. In case of getting these cells to be functional minimum manipulation of the stem cell is necessary. The current challenge in stem cell research is to evaluate the potency of stem cell through animal models [24].

Accordingly novel surrogate assays and “ORGANOID” systems may be required for this purpose. It is obligatory that the Stem Cell or Stem Cell-derived Products (SCDP) are processed in CDSCO approved

Good Manufacturing Practice (GMP) approved centres throughout the country. The discipline of “Quality Control and Quality Assurance” (QC/QA) for product development, inclusive of manufacturing protocols and cell processing is essential and should be in line with the regulatory mandates of the Schedule M of Drug and Cosmetic Act, 1940 and its contents [25].

### **Release Credentials [26]**

- SCCDP (Stem Cells and Stem Cell derived Products) should have proper label before they are released.
- SCCDP intended for human application (Strictly Investigational)/as a part of clinical trial should be in strict adherence to QC/QA as defined in Annexure VI (NGCSR-2017).
- These include “CELL VIABILITY”, “Final Cell Population (Checked for CD markers by Flow Cytometric Techniques)”, “STABILITY” and “REQUIREMENTS and RELEASE”.
- It is required that SCCDP is sufficiently stable for the “duration” of the study.
- All procedures shall be formulated and clearly stated in the form of SOPs (Standard of Practices) and strictly adhered to under all circumstances, so as to ensure, “REPRODUCIBILITY” of well characterized “CLINICAL GRADE” cells that meet the desired criteria of the following: “IDENTITY”,
- And last but not the least, the “GROUNDWORK FACILITY” should be duly approved by “CDSCO” and the same should grant the approval after receiving the CMC (Chemistry, Manufacturing and Control) documents.

### **Evidence-Based Applications [26]**

- At the present juncture there is a of “DEARTH” of solid “SCIENTIFIC” proof of principle studies to substantiate the clinical EFFICACY of STEM CELLS in pathologic states, other than the use of the same in Hematopoietic Stem Cell Transplantation (HSCT) for “APPROVED” indications as reflected in Annexure III of NGSCR, 2017 and rest of the therapies come under developing or research categories.
- So there is a complete “Sanction”/ “Prohibition” with regard to the “COMMERCIAL” use of stem cells as examples of therapy.
- It must be categorically emphasized that not a single stem cell administration is outside the purview of clinical trials.
- The experimental or investigational protocols within the purview of clinical trials should be designed with care and should have “PRIMARY” and “SECONDARY” end points.
- The follow-up period should be minimum of “TWO” years OR more depending on the “CATEGORY” and “ORIGIN” of stem cells used the intended clinical application as well as, the “AGE” and “GENDER” of the patient treated in the clinical.
- It is of paramount importance that the “STAKE HOLDERRS” involved in STEM CELL and STEM CELL-DERIVED product research and application are fully aware of current regulation and best international practices in the field, inclusive of existing cGMP and Good Clinical Practice (GCP) arbitrations.

• Moreover an enrolled human participant in a clinical trial should not be charged, unless approved by the regulatory authorities, for any procedures, in relation to the trial including hospital stay, and clinical tests performed on him or her during their stay.

### **Mechanism of Review [26,27]**

- Stem Cells and Stem Cells derived products are associated with unique ethical considerations and obligations.
- Legal and Social concerns that demands additional oversights and expertise from well-trained ethicists, scientists, seems particularly important.
- A distinctive mechanism for review and monitoring is obligatory at both institutional and national levels.
- A National Apex Committee for Stem Cell Research (NAC-SCRT) has been established, to oversee research activities, and putting into place guidelines for basic and clinical research at the National Level.
- On the other hand the “Institutional Committee for Stem Cell Research(IC-SCR)’s duty is to primarily “Approve” and monitor Stem Cell Research (Basic and Clinical) at the institutional level.
- The responsibilities, functions and attributes of both IC-SCR are given in annexure I of the recent of NGSCR-2017.
- These “Watch-Dog” committees shall ensure that they review, approve and monitor processes and protocols of stem cell research being carried out in accredited Laboratories in the national level, which are in compliance with the national guidelines.
- It is mandatory for all institutes, stakeholders, laboratories, who are involved in Stem Cell Research to establish an IC-SCR and register the same with NAC-SCRT.

### **Levels of Manipulation [27,28]**

Stem cells, whether autologous or allogenic demands variable degree of *ex vivo* or *in vitro* processing/manipulation before their use of clinical application/transplantation and translational research.

- This may carry the risk of “Contamination” and may also lead to alteration in their properties which is in variance with the methods applied for manipulation.
- All laboratory procedures should be carried out under aseptic conditions in CDSCO certified GMP (schedule M) and GLP (Schedule L1) facility for human applications.
- For pre-clinical studies on animals the laboratory should have GLP certification from the Department of Science and Technology (DST).

### **Minimum Manipulation [27,28]**

1) Processing includes simple isolation/separation, washing, centrifugation and suspension in culture medium/reagents, cutting, grinding, shaping, and overnight culturing without biological and chemical treatment, de-cellularization.

- 2) Clinical applications, using such cells require the IC-SCR, IEC and CDSCO approvals if these are meant for homologous use.
- 3) If the minimally manipulated cells are to be used for non-homologous purposes, CDSCO's approval is a must from those of the NAC-SCRT, IC-SCR and IEC before initiating any clinical application.
- 4) If the cells/tissues are removed and implanted into the same individual during the same surgical procedure within a single operation, it should not undergo processing steps beyond centrifugation/rinsing/cleaning and sizing.

### **Substantial or More Than Minimal Manipulation [27,28]**

- 1) This can be categorized as *ex vivo* processing/manipulation via which the cells are permanently altered (augmentation or decrease in specific subsets), expansion, cryopreservation or cytokine-based activation, albeit one that is not expected to the cellular characteristics and functioning.
- 2) Clinical trials using cells that have undergone more than minimal manipulation need approval from CDSCO after procuring approval from CDSCO, IC-SCR and IEC.
- 3) Clinical Trial using SVF or Stromal-Vascular Fraction from Adipocytes requires approval by IC-SCR, IEC and CDSCO.

### **Major Manipulation [27]**

- 1) This is in relation to "GENETIC" and "EPIGENETIC" modification of stem cells, transient or permanent, or of cells propagated in culture leading to alteration, not only in numbers, but also biological characteristics and function.
- 2) This includes trans-differentiation, transduction or transfection by lenti/retro viruses as well as other gene delivery modes with the sole intention of having specific selection & clonal expansion of a specific cell of interest.
- 3) Use of stem cells which have been subjected to maximal or major manipulation definitely requires approval of CDSCO after obtaining the clearance from NAC-SCRT through IC-SCR and IEC before moving on to clinical applications.

### **Types of Research [27]**

- The research has been delineated into three basic types, based on 'ethical', and or 'safety' concerns, regarding the origin of stem cells and levels of manipulation.
- The latter warrants surplus reviewing and monitoring as per the existing norms.
- They are further categorized into "PERMISSIVE", "RESTRICTIVE" and "PROHIBITIVE" areas.

### **Permissive**

1. *In vitro* or *ex vivo* studies using Stem Cells or Stem Cell-derived products approval has to be obtained from IC-SCR and IEC.

2. Establishment of novel human embryonic stem cell lines from spare embryos or iPSC lines from fetal/adult somatic cells or somatic stem cells from fetal or adult tissues with prior approval of the IC-SCR and IEC.
3. If the tissue is procured from hospital/clinic/entity, other than the concern of the researcher, then the IEC clearance is obligatory.
4. *In vitro* studies using established cell lines can be carried out with prior permission from the IC-SCR.
5. *In vivo* studies in experimental animals excepting primates, using established cell lines from any type of human pluripotent stem cells, for instance iPSCs, ESCs, as well as their differentiated cells and human somatic stem cells, (*viz.*, fetal, neo-natal or adult) from any tissue, are allowed only when IC-SCR and Institutional Animal Ethics Committee (IAEC) comes into the picture.

### **Restrictive**

Inclusive of both basic, pre-clinical and translational research domains, demanding additional elements of supervision or monitoring due to contentious issues.

- Creation of human pre-implantation embryos by IVF technology, ICSI (Intra-Cytoplasmic Sperm Cell Injection), Somatic Cell Nuclear Transfer (SCNT), etc, with the sole objective of making cell lines. The researcher/investigator needs to provide appropriate reasoning paying attention to the following points:

1. The proposed research cannot be carried out with existing ESC lines, or those that can be derived from surplus embryos.
2. Minimum number of embryos or blastocysts required for such research must be categorically stated in writing.
3. Research teams involved in ESC research should be having the expertise and knowhow to do the same in GLP certified and NABL accredited laboratories.

- Clinical trials using stem cells of any type, source and level of manipulation for homologous/non-homologous transplantation in indications other than mentioned in annexure III, can only be done with prior permission from IC-SCR, IEC and CDSCO.

- Trials should be done; using clinical grade cells in GLP and GMP compliant facilities.

- Foreign nationals or multinationals, employing Stem Cell or Stem Cell-based products outside the jurisprudence of India, wanting to do clinical trials in India should take clearance certificates and approval, from their country of origin, to undergo clinical trials and ensure that they are approved to carry out such trials by IC-SCR and IEC.

- All international collaborations require approvals from the respective countries from which they are coming, approval from their funding agencies followed by approval from Health Ministries Screening Committee, in line with the Government of India Guidelines (Available at <http://icmr.nic.in/guide.htm>).

- Import of any type of stem cells and or stem cell-derived products/ derivatives demands license from CDSCO as per the existing guidelines. These should have prior clearances from the regulatory authorities of the respective countries.
- Studies on Chimeras where stem cells from two or more species are mixed together at any stage of early development (embryonic or fetal) for having a clear understanding patterns and pathways sponsoring development and differentiation would also require prior approval of NAC-SCRT after clearance has been granted by IEC and IC-SCR.
- GENOME MODIFICATION including “Gene Editing” (for example by CRISPR-Cas9 technology) of Stem Cells, Germ Line stem cells or gamete and human embryos is restricted only to *ex vivo* and *in vitro* studies.
- This area of research will be required to be thoroughly reviewed y Review committee on Genetic Manipulation (RCGM).

### **Prohibited**

- In the present scenario with respect to Scientific Knowledge and understanding, stem cell research in the following areas is prohibited.
- Research Related to Germ Line Gene Therapy and Reproductive Cloning.
- *In vitro* culture of Intact Human Embryos, regardless of the protocol of their derivation, beyond 14 days of Fertilization or generation of Primitive Streak or whichever is earlier.
- Clinical Trials involving “XENOGENIC” cells.
- Any clinical research on human- xenogenic hybrids.
- Use of genome-modified human embryos, germ-line stem cells and gametes for developmental propagation.
- Research in the domain of implantation of human embryos (generated by any means) after *in vitro* manipulation at any stage of development in uterus humans or other species of primates.
- Breeding of animals in which any type of human stem cells have been introduced at any stage of development, and may be considered to be incorporated in the germ line of the animal in future.

### **Guidelines for Clinical Trials [27,29]**

The guidelines associated with clinical trials with STEM CELLS should be in strict compliance with “NEW DRUGS & CLINICAL TRIAL RULES 2019” of Drugs and Cosmetics Act 1940 and rules therein along with GCP (Good Clinical Practice) guidelines of CDSCO (available at <http://www.cdsc0.nic.in/html/GCP1.html>) and ICMR ethical guidelines for Biomedical research incorporating humans (available at [http://www.icmr.nic.in/ethical\\_guidelines.pdf](http://www.icmr.nic.in/ethical_guidelines.pdf)).

The researcher should strictly adhere to the Clinical Trial template for protocol in accordance with the given format (Annexure II) and submit the application to CDSCO in the form “CT-04”. Only institutions

possessing their IC-SCR, registered with NAC-SCRT and IEC registered with CDSCO are allowed to undertake stem cell research.

## **Overview of Regulatory Requirements of Stem Cells and Stem Cells Derived Products in India [15]**

- Central Licencing Approving Authority (CLAA) under Central Drugs Standard Control Organisation (CDSCO) is empowered to regulate SCDP in India under Rules 21 (b) of Drugs & Cosmetics Rules.
- SCDPs are new drugs and approved as per New Drugs and Clinical Trial Rules 2019 under Drugs & Cosmetics Act, 1940 & Rules there under.
- Any breakthrough drug is regulated under the said Act with provision of exemption of drug approval process like to conduct Phase-I & II together, issuance of conditional approval with condition to conduct structured Phase-IV, PMS etc to find out residual confirmation of safety & efficacy (if required).
- The detailed regulatory pathways prepared by the committee formed by CLAA with the Experts in the field having clinicians, researcher, academia, scientist, regulators, prepared by forming the committee.
- The regulatory requirements include:
  - (1) Application for permission to import for the sale or distribution
  - (2) To undertake clinical trials
  - (3) Manufacture of stem cell and cell / tissue derived products for sale or distribution.

### **Regulatory Pathway to be Followed for Stem Cell Derived Products in India**

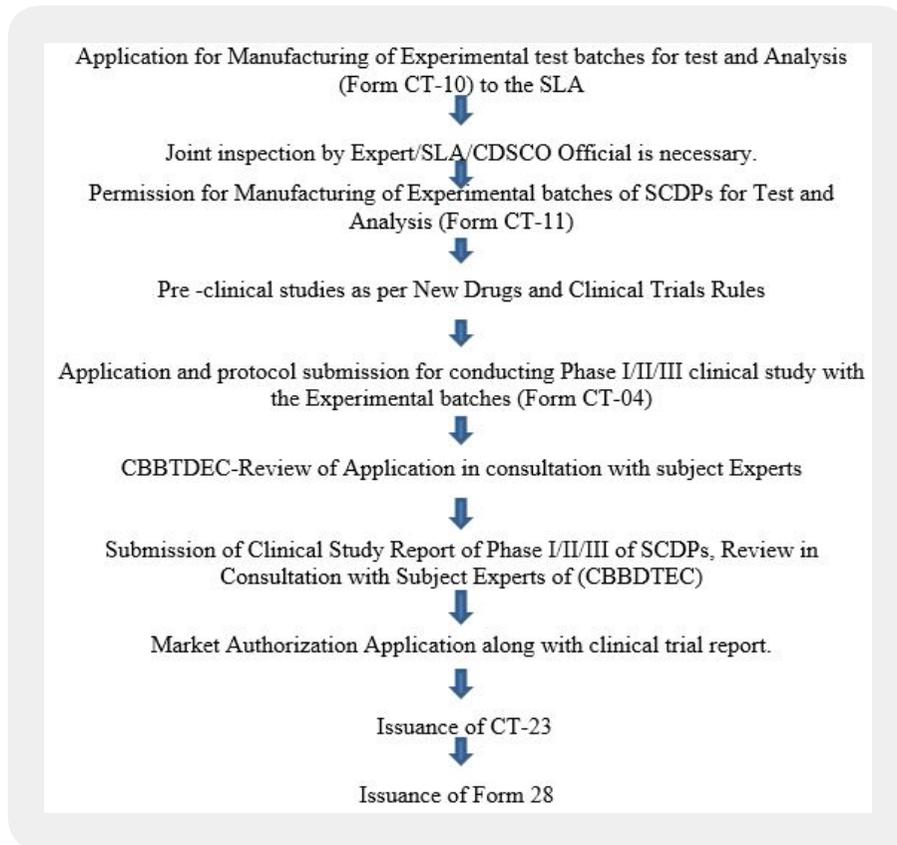
The applicant will submit their application (for Import / Manufacturing) as per the format specified to the CDSCO, where the application shall be scrutinized and technical reviewed.

If required onsite inspection shall be performed in this regard for verification of compliance. The Proposal shall be deliberated in the CBBTDEC / Apex / Technical Committee for the expert opinion on the proposal. Based on the recommendations of committee, NOC is granted to the applicant by the CDSCO.

### **Regulatory Pathway for Indigenously Manufacturers**

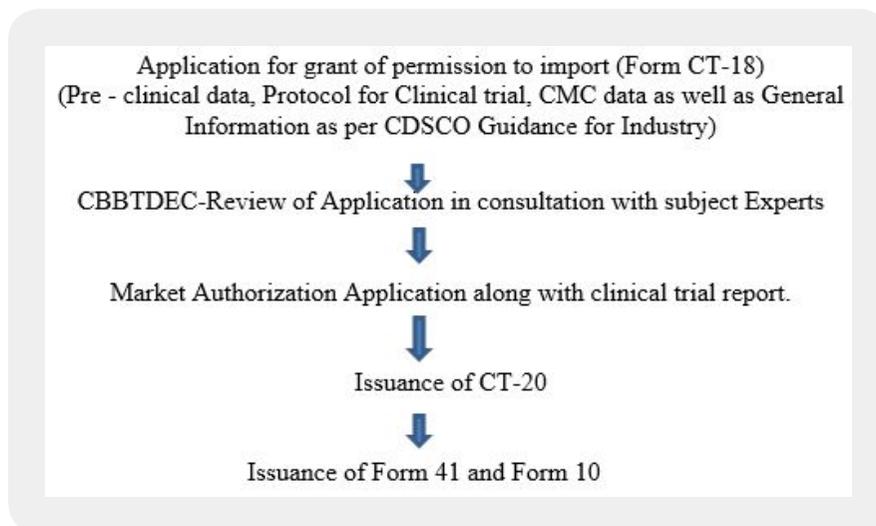
The applicant is required to obtain Clinical Trial Permission in form CT-06(application shall be made in CT-04), Market Authorization from CLAA in Form CT-23, before obtaining manufacturing license in Form 28 from State Licensing Authority.

### Regulatory Pathway for Indigenous Manufacturer



**Importers:** The applicant is required to obtain market authorization from CLAA in Form CT-20, before obtaining Registration Certificate in Form 41 and import license in Form 10.

### Regulatory Pathway for Imported SCDPs



## **Committee's Involved in Approval for Import / Marketing Authorization of the Stem Cell Derived Products**

### **CBBTDEC Committee**

CBBTDEC (Cellular Biology Based Therapeutic Drugs Evaluation committee) is constituted by the Government of India, Ministry of Health & Family Welfare under the Chairmanship of Secretary, Department of Health Research to advise CLAA in matter pertaining to regulatory pathway leading to the approval of clinical trials and marketing authorization for the Stem Cell and Stem cell derived products.

### **Technical Committee**

Technical Committee is constituted by the Government of India, Ministry of Health & Family Welfare under the Chairmanship of Director General of Health Sciences to supervise and monitor the conduct of clinical trials in the country. The objective of the technical committee is to oversee the conduct of clinical trials and give its recommendations to the Apex committee for taking further appropriate action.

### **APEX Committee**

It is constituted by the Government of India, Ministry of Health & Family Welfare under the Chairmanship of Secretary, Department of Health & Family Welfare. Joint Secretary, Ministry of Health & Family Welfare, as Member Secretary to supervise the clinical trials on new chemical entities conducted in the country.

The objective of the Apex committee is to consider the recommendations of the Technical Committee on the approvals of Clinical trials and other related issues for appropriate direction in the matter.

### **Further, Guidance for Industry Is Readily Available for Filing Applications of Scdps in Cdsco for [27]**

- Submission of clinical trial application for Evaluating Safety and Efficacy.
- Requirements for permission of New Drugs Approval
- Post Approval Change in biological products:
- Quality safety and Efficacy Documents
- Preparation of the Quality Information for Drugs submission for New Drugs Approval.

## **Features of New Drugs and Clinical Trial Rules 2019**

### **New Drug Means**

(i) A drug, including active pharmaceutical ingredient or phyto-pharmaceutical drug, which has not been used in the country to any significant extent, except in accordance with the provisions of the Act and the rules made hereunder, as per conditions specified in the labelling thereof and has not been approved as safe and efficacious by the Central Licencing Authority with respect to its claims; or

- (ii) A drug approved by the Central Licencing Authority for certain claims and proposed to be marketed with modified or new claims including indication, route of administration, dosage and dosage form; or
- (iii) A vaccine, recombinant Deoxyribonucleic Acid (r-DNA) derived product, living modified organism, monoclonal anti-body, stem cell derived product, gene therapeutic product or xenografts, intended to be used as drug;

As per second Schedule of New Drug and Clinical trial 2019, for new drug substances discovered or developed in countries other than India, Phase I data should be submitted along with the application. After submission of Phase I data generated outside India to the Central Licencing Authority, permission may be granted to repeat Phase I trials or to conduct Phase II trials and subsequently Phase III trial concurrently with other global trials for that drug. For a drug going to be introduced for the first time in the country, Phase III trial may be required to be conducted in India before permission to market the drug is granted unless otherwise exempted.

As per New Drugs and Clinical trial Rules 2019: the local clinical trial may not be required to be submitted along with the application if,

- (i) The new drug is approved and marketed in countries specified by the Central Licencing Authority under rule 101 and if no major unexpected serious adverse events have been reported; or
- (ii) The application is for import of a new drug for which the Central Licencing Authority had already granted permission to conduct a global clinical trial which is ongoing in India and in the meantime such new drug has been approved for marketing in a country specified under rule 101; and
- (iii) There is no probability or evidence, on the basis of existing knowledge, of difference in Indian population of the enzymes or gene involved in the metabolism of the new drug or any factor affecting pharmacokinetics and pharmacodynamics, safety and efficacy of the new drug; and
- (iv) The applicant has given an undertaking in writing to conduct Phase IV/PMS clinical trial to establish further safety and effectiveness of such new drug as per design approved by the **Central Licencing Authority**:
  - Provided that the Central Licencing Authority may relax this condition, where the drug is indicated in life threatening or serious diseases or diseases of special relevance to Indian health scenario or for a condition which is unmet need in India such as XDR tuberculosis, hepatitis C, H1N1, dengue, malaria, HIV, or for the rare diseases for which drugs are not available or available at a high cost or if it is an orphan drug.
  - As per Sixth Schedule: No fee shall be chargeable in respect of application for conduct of clinical trial for orphan drugs as defined in clause (x) of rule 2.

As of now, CDSCO has granted approvals of imported and domestic Stem Cells and Stem cell derived products including avascular necrosis of hip joint, Articular Cartilage Defects (Autologous Nature), Buerger's Disease with Critical Limb Ischemia, Scaffolding for correcting, reconstructing, filling, repairing or rebuilding bone in dental defects, etc.

## Guidelines [27]

- Reagents used for the derivation of human ESCs/iPSCs or expansion/enrichment of SSCs, for doing Clinical Trials should be of Clinical Grade/pharmacopoeia grade.
- When using Research Grade material, the Quality Control Program” should check out for the safety, efficacy and potency of the same as depicted in Annexure V, of NGCSR 2017.
- Animal-derived materials/reagents, for instance FCS (Fetal Calf Serum), Bovine Serum Albumin and Trypsin/ Collagenase should be tested for ancillary agents (virus causing Spongiform Encephalopathy/ BSE).
- For all reagents imported from foreign countries, the country of distribution is to be clearly stated. The FCS should be imported from BSE free country.
- Investigators should be encouraged to use Serum Free or Xeno free medium for processing and culturing of stem cells.

## Trial Participants

Participants for the specified clinical trials should be selected as per the agreed “EXCLUSION” and “INCLUSION” criteria of the designed protocol.

- Amendments/corrections/deviations should be immediately informed to CDSCO, IC-SCR and IEC.
- Human Participants enrolled for clinical trials are not liable to pay for any changes towards procedures. Investigations, biochemical tests, and or hospitalization related to the trial.

## Information That is to Be Given to Human Participants

- The “patient information sheet” and the “Informed Consent” form should be approved by IEC and IC-SCR.
- The ongoing or current scenario in Stem Cell Research should categorically stated like, the duration, investigational parameters of the proposed area of research, and possible “pros and cons” of the short term or long term use of the same.
- Irreversibility of the investigation.
- The origin and salient features of the stem cells and the degree of Ex vivo manipulation, if done.
- The already substantiated standard of care available at the time of initiation, their merits and demerits.
- The sample size, dose, duration of study and follow ups.
- Copies of the IC-SCR and IEC approvals.
- The category of the clinical trial, that is whether they are randomized, double blinded, or open-labeled/ pilot studies.

- The participants of the clinical trial should be provided a copy of the “patient information sheet” and “informed consent” immediately at the start and never deferred at a later date in local vernacular or language for clear understanding of the motive behind such a trial.
- Video recording of the patient who is the participant & vulnerable in such a CT, according to the recent amendment in CDSCO rule, viding, schedule Y, dated 9<sup>th</sup> January 2014.

### **Acquisition of the Regulatory Approval [30]**

- All clinical trials using Stem Cells and Stem Cell derived Products have to be registered with CTRI (Available at <http://ctri.nic.in/Clinicaltrials/login.php>).
- Only those institutions, which have their IC-SCR and IEC registered with CDSCO and NAC-SCRT respectively are allowed to perform the clinical trials.
- CTs taking use of only minimally manipulated autologous Somatic Stem Cells (SSCs) that is HSCs and MSCs, for homologous use for indications, other than those categorized in Annexure III of NGSCR 2017 or for non-homologous use, should have proper approval from NAC-SCRT, IC-SCR, CDSCO and IEC.
- CTs using stem cells manipulated substantially should have prior approval of IC-SCR, IEC and CDSCO.
- CTs making use of “ALLOGENIC” SSCs or Somatic Stem Cells, with any degree of manipulation, and those using autologous SSCs, with more than minimal and major manipulation, should be approved by CDSCO, IC-SCR and IEC.
- Any SCDP, being approved and marketed abroad or for concurrent CTs in Indian Hospitals, would require approval of CDSCO, post clearance from IC-SCR and IEC.
- Any CTs, with a PRODUCT intended to be licensed and marketed in our country, should have prior approval from IC-SCR and IEC.
- For tissue-engineered and combinatorial products, each of the components that are been undertaken in CTs should be approved by CDSCO after being passed on from the rule book of IEC and IC-SCR.

### **Monitoring [27]**

A separate Data Safety Monitoring Board (DSMB), should be established before each and every clinical trial.

- All cases of “ADVERSE EFFECTS” or “SERIOUS ADVERSE EFFECTS” (AE/SAE) should be immediately reported to CDSCO and IEC by the investigator/ researcher/Doctor/institution as clearly mentioned in New Drugs and Clinical Trials Rules, 2019, of DRUG and COSMETICS act, 1940 or rules established therein.
- The same should be reported to NAC-SCRT immediately via IC-SCR.
- Members of the DSMB are expected to be possessed with the required expertise and excellence to monitor trials for AEs/SAEs and their smooth or trouble-free conduct.

- Members, being a part of DSMB, shall not have a semblance of Conflict of Interest with IC-SCR/CDSCO/IEC.
- The institution/sponsor of the respective CTs, should provide the cover insurance to the participants and compensation to the subjects enrolled for the same under trial.
- The Medical Record of the “Trial Participants” should be maintained for the period of at least fifteen (15) years by head/CEOs of institutes via investigators and IC-SCR.

### **Follow up of Participants**

- Follow-up of the study participants is a must depending on the nature of the study protocol.
- Long term follow-up opens up the avenue for any late unwanted adverse effects and or fallacies in the efficacies of the interventions.
- For each indication a minimum follow up of 2-3 years, is mandatory with respect to the safety data.
- The same should be approved and decided by the IC-SCR and IEC, on a case by case basis.
- Physical examination of the participants of each and every CTS is mandatory.
- The investigator should submit periodic report on follow up to the DSMB.

### **Secretome Derived from SCDP**

- Secretome is the sum total of different secretory molecules secreted by cells under the influence of inducers or stimulators in “*in vitro*” or “*ex vivo*” studies done in relation to a particular pathological state. It is the “conditioned medium”/CM that reflects the composition of the prospective “Secretome”.
- Current research involving proteomic profile linked with “FUNCTIONAL POTENCY of the Stem Cells or Stem Cell-derived Products present within the conditioned medium or CM from Mesenchymal Stem Cell Culture or of adipocytes, Bone Marrow, Wharton’s Jelly and the like.
- The CM has expressed the prospective usage of the so called Secretome in developing Extra-cellular Matrix, Growth Factors, Chemokines and small molecules like peptides.
- For perusal as “active ingredient” for “COSMETIC” as well as for “TOPICAL” application, data on the following should be submitted to CDSCO.
- Physical, chemical and pharmaceutical properties of the conditioned medium.
- Quantification of human cytokines or growth factors present in the conditioned medium.
- Composition of the final product and indications for its use in clinical trials.
- Pre-clinical studies for “TOXICITY” and “ALLERGENICITY”.

### **Clinical Studies**

1. Safety studies and efficacy proofs of CM-PIPT (Primary Irritation Patch Test).
2. Human Volunteer study.

3. Based on the data that is submitted, allowance or approval for the responsible use of the conditioned medium, may be granted as an active constituent for cosmetic or topical use on a case to case basis.
4. For use of CM as an active ingredient for interventional purposes, guidelines for product development as provided in New Drugs & Clinical Trial Rules, 2019, of Drugs and Cosmetics Act 1940 or Rules present therein.

### **Periodic Re-Evaluation of Guidelines [27]**

The salient features of the National Guidelines for Stem Cell Research, 2017, in India is by no means a finished product. Stem Cell Science as a subject is still in its infancy and we are not by any stretch of imagination masters of this juvenile science. The translational potential of such an important pillar of modern medicine is noteworthy. We know very little about the mysteries of stem cell and its pre-clinical applications, let alone clinical applications. With time the virgin field of stem cell science and regenerative medicine, has taken rapid strides in recent times, so as a Science it is young and dynamic and so are its many ramifications.

It will be certainly beneficial to all concerned with the development of Stem Cell Science and Regenerative Medicine to inculcate new developments along its path of evolution and occasionally review and make amendments in the guidelines as per the advancement in knowledge. Accordingly periodic modification to specific clauses and sections, in the draft has to be enforced too. This is especially taken care by the Indian Council of Medical Research and they are given the responsibility to do the same and bring necessary changes as and when it is needed. Flexibility is the order of the day rather than getting too rigid about rules and guidelines.

### **Conclusions**

Presently we are at the crossroads where drawbacks of common mode of therapies for different curable and incurable diseases are well known and still not have the belief system ready to embrace the pillar of Modern Medicine, Medical Devices and the “Realm of Stem Cell/Regenerative Medicine and Translational Sciences”. Regulatory approaches are taken and well categorized here in this review to highlight the importance of the guidelines issued by NG-SCR, NAC-SCRT, CDSCO, ICSSR, ICMR, FDA, IEC etc., to minimize mis-happenings and be a watchdog to curb unscrupulous individuals from undertaking unregulated, and un-informed stem cell therapies to vulnerable and over-anxious patients.

While on the other hand many companies in India and abroad are making it a point to be aware and conversant with all the tenets of the issued guidelines and religiously implement them in their practice of procuring Stem Cells from different sources (autologous and allogenic, homologous or non-homologous) and Stem Cell derived Product applications. They adhere to every norms and mandates of different regulatory bodies and scrupulously brand and market their products. With the clear differentiation between research, regulation and therapies, industry, medical healthcare professionals and regulators will be able to provide their services for providing quality health standards in India. A clear definition of Stem cell and Stem cell derived products with xenografts needs to be provided by regulators for avoiding the puzzlement. Further, national laboratory with reference standards for regulatory strengthening in terms of Quality testing of

Stem Cells and Stem Cell derived products is required to be setup in India as presently there is no notified laboratory for testing of Stem Cells and Stem Cell derived products.

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