

Thoracic Malignancies: Insights into Different Therapeutic and Technical Options

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Cancer Incidence and Mortality

The World Health Organization (WHO) reports that cancer is the second leading cause of death globally [1]. Focusing on thoracic cancers, there are distinct epidemiological pictures. Among all tumors, lung cancer

has the highest incidence and mortality when both genders are taken into consideration together. However, differences are present when each gender is observed individually. In males, lung cancer is the number one cause of mortality and the second highest in incidence, while in females, it is the second most common reason for mortality and the third highest in incidence. On the other hand, esophageal cancer ranks sixth for overall mortality and tenth for overall incidence. It has a higher incidence (seventh) and mortality (sixth) in males compared to females (thirteenth and tenth respectively) [2]. Invasive thymoma and thymic carcinomas are rare tumors, consisting of about 1% of all malignancies, and the incidence and mortality rate in males and females is very similar [3].

The appropriate treatment route that should be followed for every patient consists of a thorough multidisciplinary evaluation characterized by an objective discussion of all available therapeutic and surgical options with a full discussion of morbidity, mortality, and outcomes associated with each option. The final decision takes into consideration many different factors including tumor histology, stage, location, resectability, genetic mutations, and individual patient comorbidities and performance status (PS).

Therapeutic Options for Lung Cancer

For primary resectable early stage (stage I and II) non-small-cell lung cancer (NSCLC) the primary treatment is surgery [4,5], as it provides the best long-term survival outcomes although SBRT Stereotactic Body Radiation Therapy (SBRT) becomes highly competitive to surgery nowadays for Stage 1 <2cm [6]. Unfortunately, more than 70% of all NSCLC are diagnosed with advanced or metastatic disease (stage III or IV) [7,8]. Resectable stage IIIA NSCLC are generally treated with a combination of surgery and adjuvant chemotherapy [9]. Higher stage or unresectable NSCLC are treated with a combination of chemotherapies (cisplatin or carboplatin with paclitaxel or pemetrexed in nonsquamous histology or gemcitabine) or a combination of chemotherapy (cisplatin + etoposide or vinblastine or pemetrexed) and radiotherapy (the optimal radiation schedule and dose remain topics of debate, but 1.5 Gy twice daily to a total of 45 Gy and 1.8-2.0 Gy daily to a total dose of 60-70 Gy are commonly used treatments [8,10]. The drug regimen with the highest likelihood of benefit, with toxicity deemed acceptable to both the physician and the patient, should be given as initial therapy for advanced lung cancer. Stage, weight loss, performance status (PS) and gender predict survival [11]. Platinum-based chemotherapy prolongs survival [12], improves symptom control, and yields superior quality of life compared to best supportive care. Histology of NSCLC is important for selection of systemic therapy. Other therapeutic options for lung cancer include molecular targeted agents and immunotherapy. In the first category, we find Erlotinib, Afatinib and Gefitinib targeting EGFR [13,14] and Crizotinib, Alectinib and Ceritinib targeting ALK [15] and Avastin as angiogenesis inhibitor [16] The second category includes immune checkpoint inhibitors, Pembrolizumab and Ipilimumab which are PD-1, PDL-1, and CTLA-4 inhibitors, respectively [17,18].

Surgery is less effective in small-cell lung cancer (SCLC) and is only adopted for very early stages and followed by chemotherapy. Most treatments use concurrent chemoradiotherapy or combination chemotherapy (cisplatin or carboplatin + etoposide as 1st line and topotecan, irinotecan, docetaxel, nivolumab or ipilimumab as 2nd line) [19].

Surgery in Lung Cancer

The past decades have been characterized by a rising interest in minimally invasive surgery, like video-assisted thoracoscopic surgery (VATS) and robot-assisted thoracoscopic surgery (RATS), as an alternative to traditional open thoracotomy. Figure 1 demonstrates the location of different incisions and port sites used in the various surgical approaches available in the treatment of lung cancer.

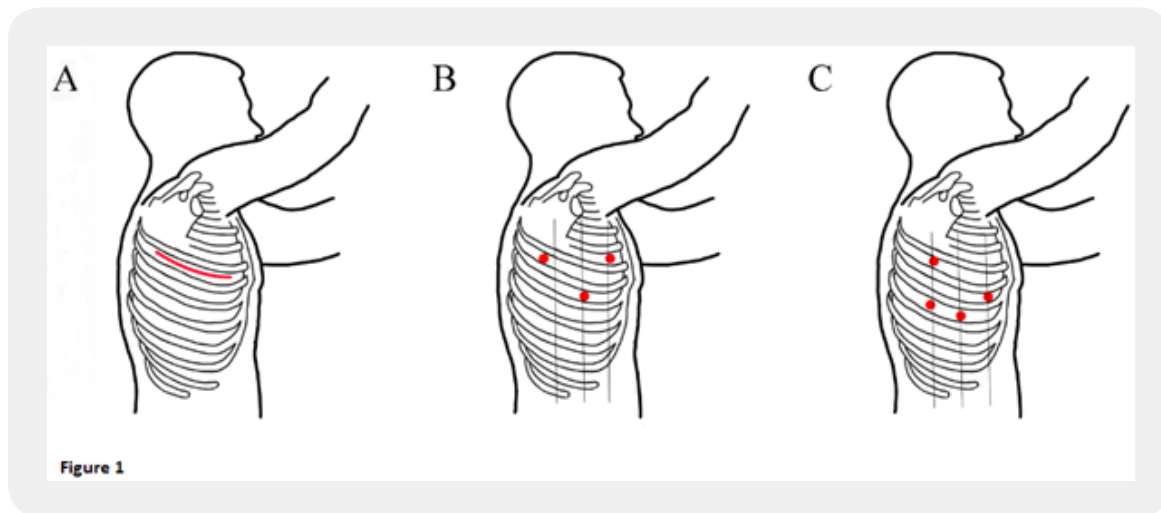


Figure 1: (A) Open lobectomy through 5th intercostal space (ICS) thoracotomy. (B) VATS lobectomy port sites: 4th ICS at anterior axillary line, 5th ICS at tip of scapula, 6th ICS at midaxillary line. (C) RATS lobectomy port sites: 5th ICS at posterior axillary line, 6th ICS at anterior axillary line, 7th ICS at posterior and midaxillary line.

Several RCTs have investigated the outcomes of VATS versus thoracotomy. Kirby *et al.* highlighted that VATS lobectomy is associated with fewer postoperative surgical complications [20]. The reduced operative trauma of VATS pulmonary lobectomy resulted in reduced peri-operative changes in acute phase responses [21]. The results of a study by Bendixen *et al.* demonstrated that VATS is associated with less postoperative pain ($p = 0.0012$) and a better quality of life ($p = 0.014$) at 1-year follow-up [22]. Also seen were lower perioperative mortality and complications, shorter length of hospital stay, and equal long-term survival favoring VATS lobectomy [4]. No difference in 5-year survival (log-rank test, $p = 0.74$; generalized Wilcoxon test, $p = 0.91$) was shown in the study by Sugi and colleagues [23]. However, perplexity about the oncological equivalence of VATS compared to thoracotomy remains. Opposing results can be found in literature suggesting either an increase in nodal upstaging with thoracotomy compared to VATS [24–26] or no significant difference [20,27,28]. This variability may be explained by factors like the experience of the institution and the learning curve as described in the study by Medbery *et al.* [24].

The more recent robotic version of minimally invasive surgery (RATS) demonstrates improvements in short term outcomes like lower amounts of blood loss, mortality, morbidity, and shorter lengths of stay and chest tube duration than traditional thoracotomy [22,29–32]. Though, the benefits of RATS over VATS are still highly debated due to the lack of significant RCTs. Farivar *et al.* showed a decreased 30-day mortality and postoperative blood transfusion rate after RATS versus VATS or thoracotomy [33].

Kent and colleagues concluded that RATS appears to be an appropriate alternative to VATS [34]. However, Swanson *et al.* reported higher hospital costs and longer operating times without any differences in adverse events [35]. Two recent meta-analyses concluded that RATS results in similar outcomes compared to VATS [36,37]. RATS surely brings technological advantages over VATS such as three-dimensional imaging, dexterity, seven degrees of freedom, lack of fulcrum effect and physiological tremors, scaled-down motions, and ergonomic positioning. Nevertheless, high costs of equipment and maintenance and lack of tactile sensation feedback are a downside of RATS [38,39]. Finally, the oncologic effectiveness of RATS still lacks sufficient data to draw conclusions.

Therapeutic Options for Esophageal Cancer

Several randomized controlled trials (RCT) [33,40,41], in particular the Chemoradiotherapy for Oesophageal Cancer Followed by Surgery Study (CROSS) [34], had confirmed that in locally advanced esophageal cancer there is a survival benefit for using neoadjuvant chemoradiotherapy along with surgery compared to surgery alone. Two recent meta-analyses have confirmed these results [35,42]. For early-stage esophageal cancer, this advantage is still controversial. The Francophone de Cancérologie Digestive (FFCD) 9901 study that enrolled only stage I and II patients failed to demonstrate this survival benefit [33]. This was in contrast to the CROSS study that also included early-stage tumors. A Phase III RCT showed that the pathologic response and possibly outcomes as well are improved when neoadjuvant chemoradiotherapy along with surgery is adopted compared to chemoradiation alone [43]. Although, no statistically significant differences in survival have been observed in important studies comparing chemoradiotherapy with surgery versus chemoradiotherapy alone [19,21,23]. A review by Swisher *et al.* that analyzed phase II/III RCTs confirmed the superior results of combined chemoradiotherapy compared to chemotherapy alone [24]. The 3D conformal and Volumetric Arc Therapy (VMAT) radiation methods are adequate techniques to deliver appropriate doses to the target. The two-plans with anterior- posterior, posterior- anterior and posterior oblique fields had the most organ-sparing effect for lung and the liver. And three fields are to spare heart and spinal cord. The total accepted dose is 50.4 Gy in 28 fractions [44].

Surgery in Esophageal Cancer

Esophagectomy, due to its highly invasive nature, still remains one of the most challenging surgeries as far as operative morbidity and mortality are concerned [45]. Staging is a fundamental premise for a good long-term surgical outcome. In fact, it identifies subgroups for neoadjuvant therapy and excludes patients with metastatic or retrocarinal spread from surgery [46].

Over the years, various approaches were adopted from the initial open esophagectomy (OE) to more advanced minimally invasive esophagectomy (MIE), including hybrid and robot-assisted, who's aim is to reduce the related complication of thoracotomy and laparotomy by performing the less invasive thoracoscopy and laparoscopy [47,48]. Figure 2 demonstrates the location of different incisions and port sites used in these various available surgical approaches. However, until now only one RCT, the TIME trial, has been conducted to compare OE to MIE outcomes [47,48]. In this study, MIE was associated with significantly fewer pulmonary infections at 2 weeks ($p = 0.005$) and in-hospital ($p = 0.005$), shorter operative time ($p = 0.002$) and hospital stay ($p = 0.044$), reduced intraoperative blood loss ($p < 0.001$) with equal 3-year

overall- and disease-free survival [hazard ratio (HR): 0.883 (0.540-1.441) and HR: 0.691 (0.389-1.239), respectively] [49]. Other recent studies showed equal or fewer morbidities, but higher reintervention rates of MIE compared to OE [50-52]. Two recent meta-analysis confirmed these improved short-term outcomes of MIE, but no difference in anastomotic leakage and the number of lymph nodes harvested was found between the two techniques [53,54].

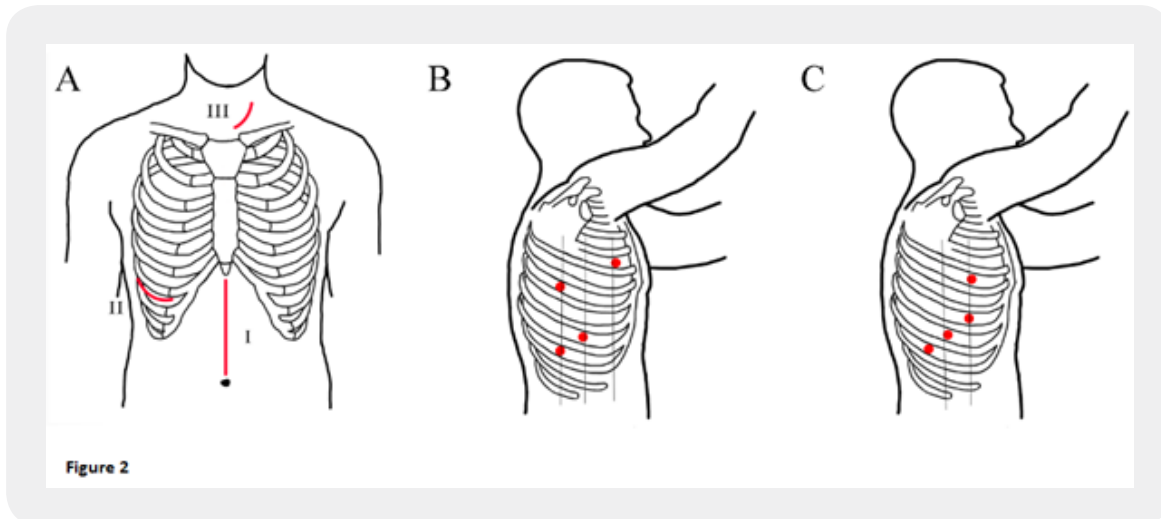


Figure 2: (A) Open esophagectomy: Ivor-Lewis (I+II); McKeown (I+II+III); Transhiatal (I+III). (B) VATS esophagectomy port sites (thoracic phase): 4th and 9th ICS at posterior axillary line, 8th ICS at midaxillary line, 4th ICS at anterior axillary line. (C) RAMIE port sites (thoracic phase): 5th and 7th ICS at midaxillary line, 8th ICS at posterior axillary line, 9th ICS posterior to posterior axillary line.

A hybrid procedure (HMIE), consisting of thoracotomy and laparoscopy, was introduced to overcome the challenging thoracoscopic phase that requires a long learning curve (estimated at 119 cases) before mastery [55]. This approach is thought to be suited for low-volume centers with insufficient caseload to practice as only the abdominal phase is performed minimally invasively. Nevertheless, the specific advantages of this procedure are still not fully clear compared to OE or MIE due to lack of significant studies.

Another approach using robotic assistance (RAMIE) was introduced in order to help surgeons with this demanding procedure. Augmented hand movements with additional degrees of freedom through scaling and tremor filtration and the three-dimensional vision increases precision and accuracy [56]. RAMIE was shown to be oncologically effective with R0 radical resections approaching 95% and providing adequate lymphadenectomy with a low percentage of local recurrence seen at long-term follow up [57,58]. Other advantages include better surgical access in reaching complex areas with conventional instruments [56,59]. On the other hand, RAMIE may present with some disadvantages such as longer operative time, higher costs, and the lack of tactile feedback [60]. Few series with a significant number of patients, after the initial small studies, have been published that compare RAMIE with OE or MIE [61,62].

Another form of minimally invasive approach is via endoscopy. Endoscopic mucosal resection (EMR) and the novel endoscopic submucosal dissection (ESD) [63] are resection techniques developed to mainly target early stages of esophageal and gastric cancers. In EMR, the idea is to apply suction towards the snare while getting close to the lesion followed by cutting and cauterizing the surrounding mucosa, with the ability of repeating in piecemeal fashion. ESD however allows wider superficial resection using the enbloc cauterization technique while getting deep into the submucosa. Complications of resection approach generally include bleeding, stricture and more importantly perforation, especially in the ESD technique [63].

Also as adjuvant therapy, Endoscopic ablation serves as other means in managing flat dysplastic and neoplastic lesions, using techniques like Laser, Photodynamic Therapy (PDT), Argon Plasma Coagulation (APC), Cryoablation and Radio Frequency Ablation (RFA) [64]. The National Comprehensive Cancer Network (NCCN) guidelines, proposed adopting the endoscopic resection techniques, with possibly adding ablation, as an alternative for early TNM staging of esophageal cancer as well as medically unfit patients for surgery [65].

Therapeutic Options for Thymoma

Due to its rarity, there are very few RCTs that are available for planning for this disease. Therefore, the analysis of the few published trials and studies is the only available approach to build the treatment guidelines [66]. Thymoma staging adopts the Masaoka system with little modification from the original paper [67]. Masaoka stage I and II thymoma is resected by surgery. For stage I surgery is performed without neoadjuvant or adjuvant chemotherapy, while in stage II adjuvant chemotherapy may be considered for high risk patients. For a resectable stage III thymoma, surgery in combination with neoadjuvant or adjuvant chemotherapy is considered. For a non-resectable stage III thymoma chemotherapy with or without radiation therapy is recommended with a median dose of radiotherapy of 50 Gy. In stage IVA thymoma, pleural dissemination determines the therapy: when it's not extensive, surgery with or without neoadjuvant chemotherapy is recommended; while, when it's extensive, chemotherapy is recommended. Finally, for stage IVB thymoma, chemotherapy is generally recommended [68-70]. The treatment regimens includes CAP (Cisplatin, Doxorubicin, Cyclophosphamide), CAP with prednisone, PE(Cisplatin, Etoposide), VIP (Etoposide, Ifosfamide, Cisplatin) and Carboplatin-Paclitaxel [71].

Surgery in Thymoma

Sternotomy is considered the gold standard surgical approach to the thymus [72]. The surgical treatment objective is to obtain a complete resection of the tumor and the open approach provides complete access and visualization of the mediastinum for optimal results. In the past years, VATS thymectomy gained increasing popularity because it was associated with reduced intraoperative blood loss, less postoperative pain, fewer post-operative pneumonias, shorter length of hospital stay, and at least similar survival and recurrence rates compared to the open approach [72-79]. Large tumors do not always prohibit minimally invasive approaches, but caution must be taken in certain cases when tumors are severely adherent to or invade into vital organs [80]. The robot-assisted approach is believed to improve minimally invasive thymectomy compared to VATS [81-83]. Figure 3 demonstrates the location of different incisions and port sites used in all of the surgical approaches mentioned.

Challenging anatomical layouts of the thymic gland increase the difficulty of VATS. Adopting a robotic approach can minimize these problems and this might translate into superior resections. Preliminary oncologic outcomes and survival for the robotic technique are promising, but the number of significant studies and the number of patients included in these studies are still lacking to better define the role of robotic thymectomy in the surgical treatment of thymoma [84-93].

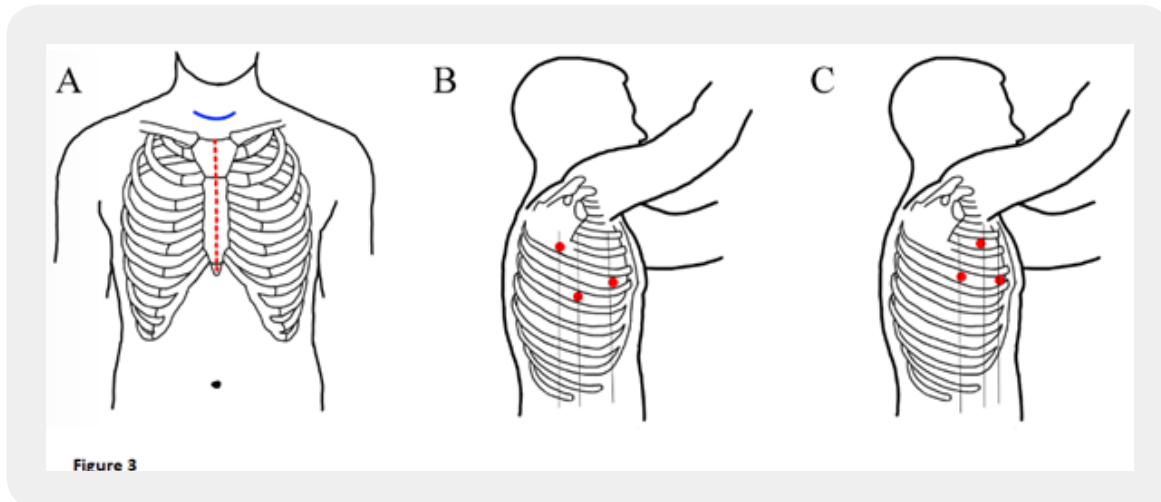


Figure 3: (A) Open thymectomy: transcervical approach (blue line); transsternal approach (red line). (B) VATS thyroectomy port sites: 4th ICS at posterior axillary line, 5th ICS at anterior axillary line, 6th ICS at midaxillary line. (C) Robotic thyroectomy port sites: 3rd ICS at anterior axillary line, 5th ICS at midaxillary and midclavicular line.

Conclusions

In order to provide the best quality of care, treatment for thoracic cancers should be performed by an experienced multidisciplinary team. Surgery remains the main treatment for thymomas and early-stage NSCLC, and it is a fundamental therapeutic option for the combination therapy of esophageal cancer. Minimally invasive techniques, like thoracoscopic and robot-assisted approaches, are increasingly used and show promising results. Though more randomized studies are warranted to better clarify the efficacy of these minimally invasive techniques especially the robotic technique.

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